

Details of Ultimate Beneficial Owners : (please refer Instructions below)

Sr. No	Name of Beneficial Owners	Nationality	Address	Identity proof (Please enclose self-attested proof)*	Ownership %
1				PAN : _____ Other ID proof (if PAN not available) _____ (Please Specify)	
2				PAN : _____ Other ID proof (if PAN not available) _____ (Please Specify)	
3				PAN : _____ Other ID proof (if PAN not available) _____ (Please Specify)	
4				PAN : _____ Other ID proof (if PAN not available) _____ (Please Specify)	
5				PAN : _____ Other ID proof (if PAN not available) _____ (Please Specify)	
6				PAN : _____ Other ID proof (if PAN not available) _____ (Please Specify)	

If the above space is insufficient, please provide the information by way of an annexure, duly attested.

Pursuant to SEBI master circular vide ref. no. CIR/ISD/AML/3/2010 dated December 31, 2010 on anti-money laundering standards and guidelines on identification of Beneficial Ownership issued by SEBI vide its circular ref. no. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit proof of identity (viz. PAN with photograph or any other acceptable proof of identity prescribed in common KYC form) of UBO(s).

The Ultimate Beneficial Owner means:

- For Investor other than individuals or trusts:

A "Natural Person", who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of / entitlements to:

- more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.

- For Trust:

The settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

#### Exemption in case of listed companies:

The provisions w.r.t. Identification of UBO are not applicable to the investor or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company.

#### Applicability for foreign investors:

The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

#### \* List of self-attested documents to be submitted along with the form:

- Copy of PAN card; or
- Copy of other identity proofs (any one)
  - Passport
  - Aadhaar card (UID)
  - Driving license
  - Voter Identity Card
  - Photo Identity card issued by any of the following:

Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities. Professional Bodies such as ICAL, ICWAL, ICSI, Bar Council etc. to their Members; and Credit cards / Debit Cards issued by Banks.

